



Attorney Docket No.: ECL-

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Richard LeVine, *et al.*
Serial No.: 09/989,910
Filing Date: November 20, 2001
Title: SYSTEMS AND METHODS FOR PREVENTING UNAUTHORIZED USE OF DIGITAL CONTENT

Examiner: Not yet assigned

Group Art Unit: 2184

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the United States Post Office as First Class Mail on the date indicated below in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

4/9/04

Date

Christopher Hren

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Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

APR 14 2004

Technology Center 2100

TRANSMITTAL LETTER

Sir:

Enclosed herewith for filing in the above-identified patent application please find the following listed items:

1. Supplemental Information Disclosure Statement;
2. Forms PTO-1449 and copies of cited references AN, AO and AR; and
3. Return Postcard.

In connection with the foregoing matter, please charge any additional fees which may be due, or credit any overpayment, to Deposit Account Number 50-1798. A duplicate copy of this letter is provided for this purpose.

Respectfully submitted,

Date: April 9, 2004
Mills & Onello, LLP
Eleven Beacon Street, Suite 605
Boston, Massachusetts 02108
Telephone: (617) 994-4900
Facsimile: (617) 742-7774

Anthony P. Onello, Jr.
Anthony P. Onello, Jr.
Registration Number 38,572
Attorney for Applicants



#8

PATENT
Attorney Docket No.: ECD-0003IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Richard LeVine, *et al.* Examiner: Not yet assigned
Serial No.: 09/989,910 Group Art Unit: 2184
Filing Date: November 20, 2001
Title: SYSTEMS AND METHODS FOR PREVENTING UNAUTHORIZED USE OF
DIGITAL CONTENT

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Alexandria, VA 22313-1450

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ☐ under 37 CFR 1.129(a), or
(First/Second submission after Final Rejection)
- ☒ under 37 CFR 1.97(b), or
(Within three months of filing national application other than a CPA under §1.53(d); or within three months of date of entry of the national stage in international application; or before mailing date of first Office Action on the merits; or before mailing date of first Office Action after filing RCE under §1.114, whichever occurs last)
- ☐ under 37 CFR 1.97(c) together with either:
- ☐ a Statement under 37 CFR 1.97(e), as checked below, or
- ☐ the \$180.00 fee under 37 CFR 1.17(p), or
(After the 37 CFR 1.97(b) time period, but before final action or notice of allowance, or other action which closes prosecution, whichever occurs first)
- ☐ under 37 CFR 1.97(d) together with:
- ☐ a Statement under 37 CFR 1.97(e), as checked below, and
- ☐ the \$180.00 fee set forth in 37 CFR 1.17(p).
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

Applicant(s): Richard LeVine, *et al.*
Serial No.: 09/989,910

Statement Under 37 1.97(e)

- ☐ Each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.
- ☐ No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Enclosed herewith is form PTO-1449:

- ☒ Copies of cited references are enclosed.
- ☐ Copies of cited references are enclosed except those entered in prior application, U.S. Serial No. _____, and references that are not required to be submitted under 37 CFR 1.98.
- ☐ The listed references were cited in a counterpart foreign application.

Concise Explanation Requirement (non-English references):

☒ The "concise explanation" requirement under 36 CFR 1.98(a)(3)(i) for reference AN is satisfied by:

- ☐ the explanation provided on the attached sheet.
- ☐ the explanation provided in the Specification.
- ☒ abstract.

It is requested the information disclosed herein be made of record in this application.

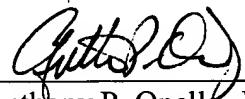
Applicant(s): Richard LeVine, *et al.*
Serial No.: 09/989,910

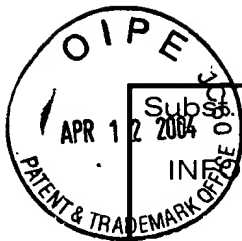
Method of payment:

- ☐ Enclosed is a check in the amount of _____.
- ☐ Please charge Deposit Account of 50-1798 in the amount of _____.
- ☐ Two duplicate copies of this Statement are enclosed.
- ☒ Please charge any deficiency in fees and credit any overpayment to Deposit Account No. 50-1798.

Respectfully submitted,

Date: April 9, 2009
Mills & Onello, LLP
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Registration Number 38,572
Attorney for Applicants



Subs. Form PTO-1449

Docket Number (Optional)

ECD-0003

Application Number

09/989,910

INFORMATION DISCLOSURE CITATION
IN AN APPLICATION

Applicant

Richard LeVine, *et al.*

Filing Date

11/20/01

Group Art Unit

2184

(Use several sheets if necessary)

U. S. Patent Documents

EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	HA						
	HB						
	HC						
	HD						
	HE						
	HF						
	HG						
	HH						
	HI						
	HJ						
	HK						

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FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
	AL							
	AM							
	AN	EP0844549	05/27/98	Germany	G06/F	1/00		X
	AO	WO0117163	03/08/01	WO	H04L	9/06		X
	AP							
	AQ							

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	AR	"Operation System Protection Through Program Evolution." Frederick B. Cohen. Computers and Security, Vol. 12, No. 6
	AS	
	AT	

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy with next communication to applicant.